

10/560,202 18 APR 2006 PCT #

Please type plus sign (+) inside this box [+]

Patent and Trademark Office: U.S. Department of Commerce

0001/PTO U.S. Department of Commerce  
Rev. 10/95 Patent and Trademark Office

Application Number	10/560,202
Filing Date	
First Named Inventor	Colin Richard Pearce
Group Art Unit	
Examiner Name	
Attorney Docket Number	248-00322

### TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of pages in this Submission

9

### ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment/Response
  - ☐ After final
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement/PTO-1449
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts Under 37.1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Checklist and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer

- ☐ After Allowance Communication To Group
- ☐ Appeal Communication to Board Of Appeals and Interferences
- ☐ Appeal Communication to Group ( Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (Please identify below)

Return receipt postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Joseph J. Jochman, Reg. No. 25,058 ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202
Signature	
Date	April 14, 2006

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

April 14, 2006

Typed or printed name Barbara A. Johnson

Signature

Date 04/14/2006



PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**\$130.00****Complete if Known**

Application Number	10/560,202
Filing Date	
First Named Inventor	Colin Richard Pearce
Examiner Name	
Art Unit	
Attorney Docket No.	248-00322

**METHOD OF PAYMENT (check all that apply)**

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_  
☐ Deposit Account Deposit Account Number: 01.2000 Deposit Account Name: Andrus, Scealess, Starke & Sawall, LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

**Total Claims** 12 - 20 = 0 x Fee (\$) = Fee Paid (\$) = \$0.00

HP = highest number of total claims paid for, if greater than 20

**Indep. Claims** 2 - 3 = 0 x Fee (\$) = Fee Paid (\$) = \$0.00

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets**          - 100 =          / 50 =          (round up to a whole number) x Fee (\$) = Fee Paid (\$) = \$0.00

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: Surcharge for late filing of declaration

Fees Paid (\$)

\$130.00

**SUBMITTED BY**

Signature	<u>Joseph J. Jochman</u>	Registration No. (Attorney/Agent)	<u>25,058</u>	Telephone	<u>414-271-7590</u>
Name (Print/Type)	<u>JOSEPH J. JOCHMAN</u>			Date	<u>04/14/2006</u>

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

04/24/2006 GFREY1

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of	)	Group Art Unit:
	)	
COLIN RICHARD PEARCE ET AL	)	Examiner:
	)	
Int'l. Appln. No. PCT/GB04/02571	)	ROPE TERMINATOR
	)	
Int'l. Filing Date: 06/14/2004	)	

**SUBMISSION OF DECLARATION**

Box PCT  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

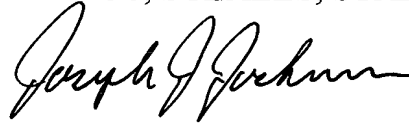
Responsive to the Notification of Missing Requirements under 35 U.S.C.  
371 mailed April 7, 2006, enclosed are the following documents/items.

1. An executed declaration in this application executed by the inventors. The declaration includes the name and residence of the inventor. In accordance with the Commissioner's announcement in 1035 OG 3, the undersigned registered attorney states that the application, now identified by Serial No. 10/560,202 is the application that the inventors executed by signing the attached declaration.
2. A check in the amount of \$130.00 to cover the surcharge for late filing of the declaration for a large entity is enclosed. Kindly charge any additional fees under 37 C.F.R. §§1.16 or 1.17 to Andrus, Scales, Starke & Sawall Deposit Account No. 01.2000. A duplicate copy of this submission is attached.
3. A copy of the Notice of April 7, 2006.

Issuance of a filing receipt and advancement of the application to examination is respectfully requested.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

A handwritten signature in black ink, appearing to read "Joseph J. Jochman". The signature is fluid and cursive, with the first name "Joseph" and last name "Jochman" clearly distinguishable.

Joseph J. Jochman  
Reg. No. 25,058

Andrus, Sceales, Starke & Sawall  
100 East Wisconsin Avenue  
Milwaukee, Wisconsin 53202  
(414) 271-7590  
Attorney Docket No. 248-00322



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
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 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/560,202	Colin Richard Pearce	248-00322

INTERNATIONAL APPLICATION NO.
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PCT/GB04/02571

I.A. FILING DATE	PRIORITY DATE
06/14/2004	06/14/2003

Joseph J Jochman  
 ANDRUS SCEALES STARKE & SAWALL  
 Suite 1100  
 100 East Wisconsin Avenue  
 Milwaukee, WI 53202

CONFIRMATION NO. 5530

371 FORMALITIES LETTER



\*OC000000018480309\*

Date Mailed: 04/07/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/08/2005
- Copy of the International Search Report filed on 12/08/2005
- Preliminary Amendments filed on 12/08/2005
- U.S. Basic National Fees filed on 12/08/2005
- Priority Documents filed on 12/08/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,**

**WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,202	PCT/GB04/02571	248-00322

FORM PCT/DO/EO/905 (371 Formalities Notice)